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396.40469X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: KANNO et al.

Serial No.: 09/923,373

Filed: August 8, 2001

For: PROCESS FOR PRODUCING COKE, ARTIFICIAL  
GRAPHITE AND CARBON MATERIALS FOR NEGATIVE  
ELECTRODE OF NON-AQUEOUS SOLVENT TYPE  
SECONDARY AND PITCH COMPOSITION USED THEREFOR

Group: 1764

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 AND §1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 16, 2003

Sir:

Pursuant to Applicant's duty of disclosure, enclosed please find a European Search Report and copies of documents cited therein, in connection with a European Patent Application corresponding to the above-identified application. Also enclosed is a Form, substantially equivalent to a Form PTO-1449, listing the enclosed documents.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 C.F.R. 1.97(b) are satisfied.

In the event, the following Statement is made under 37 C.F.R. 1.97(e)(1):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent office not more than three months prior to the filing of this Information Disclosure Statement.


To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 C.F.R. 1.98(a)(3) are satisfied by English-language abstracts of the respective documents, and/or by the enclosed European Search Report citing relevant passages of the documents.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 C.F.R. 1.97 and 1.98 have been satisfied, in connection with present submission of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (396.40469X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

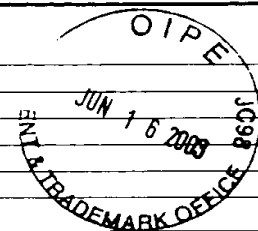
A handwritten signature in dark ink, appearing to read "William I. Solomon", is written over a horizontal line.

William I. Solomon  
Registration No. 28,565

Attachments  
WIS:nbf

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A.PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>	
			Application Number	09/923,373
Sheet 1 of 1			Filing Date	August 8, 2001
			First Named Inventor	KANNO et al.
			Art Unit	1764
			Examiner Name	Unassigned
			Attorney Docket Number	396.40469X00



U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date DD-MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date DD-MM-YYYY	Country	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		JP 01-282346 A		14.11.1989	Japan (English Abstract only)		
		JP 02-175921 A		09.07.1990	Japan (also English Abstract)		
		KR 9305524		22.06.1993	Korea (English Abstract only)		
		JP 45 013453 B		30.11.1966	Japan (English Abstract only)		
		JP 09-251855 A		22.09.1997	Japan (also English Abstract )		

## OTHER DOCUMENTS

European Search Report mailed March 18, 2003, for EP 01 11 9188

Kanno, et al., "Modifications To Carbonization Of Mesophase Pitch By Addition Of Carbon Blacks", in *Carbon*, Vol. 35, No. 10-11, pp. 1627-1637 (1997)

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231